

4-1-02 (3)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,275	12/21/2001	Alain Duboust	AMAT/5998/CMP/CMP/RKK

PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O. Box 450A  
Santa Clara, CA 95052



CONFIRMATION NO. 4052

## FORMALITIES LETTER



\*OC000000007408428\*

Date Mailed: 02/01/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$834.
  - \$414 for 23 total claims over 20.
  - \$420 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1704.

A copy of this notice **MUST** be returned with the reply.

*margaret*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

E0032275

501074

00000101

00000101

7-03-06 CH  
1-02-03 CH  
4-02-06 CH  
4-04-00 CH

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DUBOUST et al.

Assignee: Applied Materials, Inc.

Title: ELECTROLYTE COMPOSITION AND TREATMENT FOR ELECTROLYTIC CHEMICAL MECHANICAL POLISHING

Serial No.: 10/032,275 /

Filed: 12/21/2001

Group Art Unit: 1741

Atty. Docket: AMAT/5998/CMP/CMP/RKK

Examiner: Unknown

Santa Clara, Calif.  
March 29, 2002

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Attention: Manager, Application Division

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on 02/01/2002, the following documents are enclosed to complete the filing of the above-identified patent application:

1. 7 Combined Declarations and Powers of Attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (21 pages)
2. Copy of "Notice to File Missing Parts of Application - Filing Date Granted" (Form PTO-1533)

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 50-1074 (a duplicate of this document is enclosed):

<input checked="" type="checkbox"/> Filing Fee - Original Patent Application [37 CFR 1.16(a)]	\$740.00
<input checked="" type="checkbox"/> Fees for Claims:	
<input checked="" type="checkbox"/> each independent claim in excess of 3 [37 CFR 1.16(f)]	\$420.00
<input checked="" type="checkbox"/> each claim in excess of 20 [37 CFR 1.16(c)]	\$414.00
<input type="checkbox"/> each multiple dependent claim(s) [37 CFR 1.16(d)]	

- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee (for other than small entity)</u>
<input type="checkbox"/> 1 month	\$ 110.00
<input type="checkbox"/> 2 months	390.00
<input type="checkbox"/> 3 months	890.00
<input type="checkbox"/> 4 months	1,390.00

If an additional extension of time is required please consider this a petition therefor.

- ☒ Late filing of original declaration or oath 37 CFR 1.16(e).

130.00

Total fees: **\$1704.00**

- ☒ The Commissioner is hereby authorized to charge \$1704.00 and any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1074.

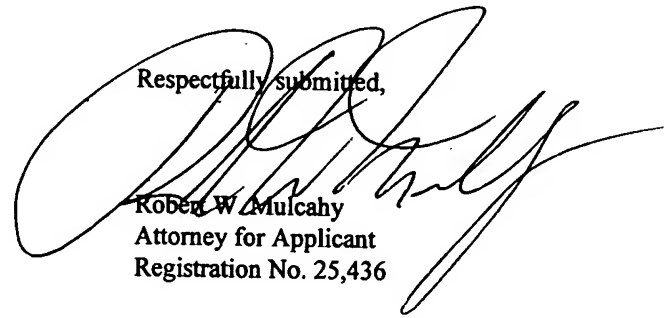
It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of 12/21/2001. Please telephone the undersigned at (408)-563-2726 if there are any questions regarding this matter.

APPLIED MATERIALS, INC.  
Legal Affairs Department  
3050 Bowers Ave., MS/2634  
Santa Clara, CA. 95054

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on March 29, 2002

  
Beth Mulcahy

Respectfully submitted,

  
Robert W. Mulcahy  
Attorney for Applicant  
Registration No. 25,436